



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION EXAMINING OPERATIONS

Applicant : Austin L. Huang Group Art Unit: 2533
Serial No. : 09/440,102 Examiner : Tai V. Duong
Filed : November 15, 1999
Title : PROJECTION DISPLAY HAVING
POLARIZATION COMPENSATOR

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 CFR §1.98

1600 ODS Tower
601 S.W. Second Avenue
Portland, Oregon 97204-3157
January 26, 2004

Mail Stop Patent Applications (IDS-FEE)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith copies of publications of which he is aware and which they desire to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Supplemental Information Disclosure Statement is being submitted after the mailing date of a first Office Action on the merits of the above-identified application. Accordingly we enclose the required fee of \$180.

01/30/2004 JBALINAN 00000065 09440102

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In accordance with 37 CFR §1.97(h), the filing of this Supplemental Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

Enclosed herewith is PTO-1449 Form listing the enclosed references. Applicant would respectfully request that the Examiner initial this form, where indicated, and return an acknowledgment copy to the applicant to confirm that the listed references were received and considered.

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,



Kevin L. Russell
Reg. No. 38,292
Attorney for Applicant
Tel: (503) 227-5631

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Patent Applications (IDS-FEE), Commissioner for Patents, P. O. Box 1450, Alexandria, VA., on January 26, 2004.

Dated: January 26, 2004



Kevin L. Russell

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENTATTY. DOCKET NO.
KLR 7146.0053SERIAL NO.
09/440,102

APPLICANT

Austin L. Huang

FILING DATE
November 15, 1999GROUP
2533

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE FILED	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	450432	15-12-17	Japan				
	BB	Publication No. 03-046692	Published 27-02-1991	Japan				
	BC	Publication No. 03-202846	Published 04-09-1991	Japan				
	BD	Publication No. 07-218909	Published 18-08-1995	Japan				
	BE	Publication No. 10-186548	Published 14-07-1998	Japan				
	BF	Publication No. 11-271893	Published 08-06-1995	Japan				
	BG	Publication No. 11-305189	Published 05-11-1999	Japan				
	BH	Publication No. 11-326861	Published 26-11-1999	Japan				
	BI	Publication No. 2000-019326	Published 21-01-2000	Japan				
	BJ	Publication No. 2000-019455	Published 21-01-2000	Japan				



BK	Publication No. 2000-147656	Published 26-05-2000	Japan				
BL	Publication No. 2000-180792	Published 30-06-2000	Japan				
BM	Publication No. 2000-267046	Published 29-09-2000	Japan				

OTHER ART

CA	
CB	
CC	
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CE	
CF	
CG	
CH	
CI	
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CK	
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Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language translation is attached.

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/440,102
Filing Date	November 15, 1999
First Named Inventor	Austin L. Huang
Group Art Unit	2533
Examiner Name	Tai V. Duong
Attorney Docket Number	KLR:7146.0053

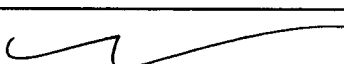
Total Number of Pages in this Submission

ENCLOSURES (check all that apply)

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|---|--|---|
| <input checked="" type="checkbox"/> Fee transmittal
<input checked="" type="checkbox"/> Fee attached form
<input type="checkbox"/> Amendment
<input type="checkbox"/> After Final/ Response
<input type="checkbox"/> Affidavits/Declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified copy of Priority Documents
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing Related Papers
<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Additional Enclosures (identify below)
Form 1449 with listed prior art; a duplicate copy of this Transmittal Letter; and an acknowledgment Postcard, |
|---|--|---|

Remarks: *Commissioner is authorized to charge any additional fee, or credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein.*

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP
Signature	
Date	January 26, 2004

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Type or print name	Kevin L. Russell	Date	January 26, 2004
Signature			

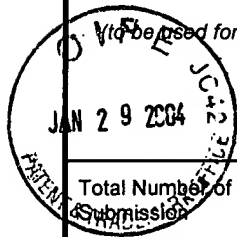
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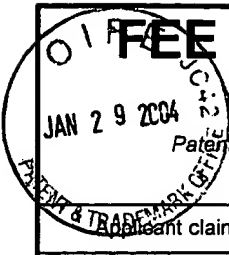
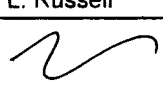
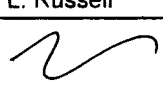
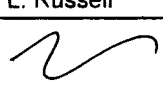
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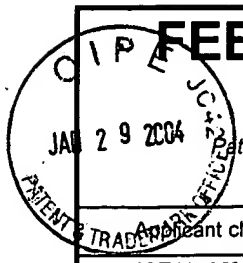
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee transmittal <input checked="" type="checkbox"/> Fee attached form <input type="checkbox"/> Amendment <input type="checkbox"/> After Final/ Response <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing Related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosures (identify below) Form 1449 with listed prior art; a duplicate copy of this Transmittal Letter; and an acknowledgment Postcard,
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP
Signature	
Date	January 26 2004

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Type or print name	Kevin L. Russell		
Signature		Date	January 26 2004

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<div style="display: flex; align-items: center;"> <div style="text-align: center; margin-right: 10px;">  </div> <div> <h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2004</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision.</p> </div> </div>				Complete If Known																																																																																																																																																																																																																																																																																																																																																																																																													
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ADDITIONAL FEES <table border="1" style="width:100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for ex-parte reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt.</td><td>\$180.</td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times no. of properties) - total assignments 1</td><td></td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>Filing a submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="6" style="padding: 5px;">Other fee (specify) _____</td></tr> <tr> <td colspan="4" style="padding: 5px;"> 1. BASIC FILING FEE <table border="1" style="width:100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>Code (\$)</td><td>Code (\$)</td><td></td><td></td></tr> <tr><td>1001 750</td><td>2001 375</td><td>Utility filing fee</td><td></td></tr> <tr><td>1002 330</td><td>2002 165</td><td>Design filing fee</td><td></td></tr> <tr><td>1003 520</td><td>2003 260</td><td>Plant filing fee</td><td></td></tr> <tr><td>1004 750</td><td>2004 375</td><td>Reissue filing fee</td><td></td></tr> <tr><td>1005 160</td><td>2005 80</td><td>Provisional filing fee</td><td>80</td></tr> <tr><td colspan="3" style="text-align: right;">SUBTOTAL (1)</td><td>\$80</td></tr> </tbody> </table> </td> <td colspan="2" style="padding: 5px;"> 2. 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SUBMITTED BY Name (print type): Kevin L. Russell Signature: 				Complete (if applicable) Registration No.: 38,292 Telephone: (503) 227-5631 Date: Jan 1/2004																																																																																																																																																																																																																																																																																																																																																																																																													



FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37CFR 1.27

Complete If Known

Application Number	09/440,102
Filing Date	November 15, 1999
First Named Inventor	Austin L. Huang
Examiner Name	Tai V. Duong
Art Unit	2533
Attorney Docket No.	KLR: 7146.0053

TOTAL AMOUNT OF PAYMENT \$180

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 03-1550

Deposit Account Name Chernoff Vilhauer McClung & Stenzel

The Commissioner is authorized to: (check all that apply)

☒ Charge fees indicated below ☒ Credit any overpayments A duplicate of this letter is included with this authorization

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge any fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	80
SUBTOTAL (1)			\$80

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20	**= 0 x 9 =	0	0
Indep. Claims 3	**= 0 x 42 =	0	0
Multiple Dependent			

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
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SUBTOTAL (2)			\$0

**or number of previously paid, if greater. For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex-parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt.	\$180
8021	40	8021	40	Recording each patent assignment per property (times no. of properties) - total assignments 1	
1809	750	2809	375	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					\$180

SUBMITTED BY

Complete (if applicable)

Name (print type)	Kevin L. Russell	Registration No.	38,292	Telephone	(503) 227-5631
Signature		Date	Jan 7, 2004		